Consumer Name:

APPLICATION ULSTER COUNTY SINGLE POINT OF ACCESS (SPOA) FOR ADULT RESIDENTIAL SERVICES

HOW TO APPLY?

The SPOA for Adult Residential Services is a centralized intake system to manage, and triage housing referrals to all available Office of Mental Health (OMH) vacancies. Attached is an application for your use in submitting referrals. For a referral to be considered, the following documentation must be included:

- 1. A DSM-5 diagnosis that meets criteria for Serious Mental Illness (SMI)
- 2. A DSM-5 diagnosis with an extended impairment of functioning due to mental illness
- 3. Adult SPOA Application for Residential Services
- 4. A psychiatric evaluation completed within the last 12 months
- 5. Three (3) consents to release information (see SPOA application)
- 6. A level of housing form (see SPOA application page 2) with the level requested checked off ***A CONSUMER WHO IS CURRENTLY RECEIVING SECTION 8 ASSISTANCE IS NOT ELIGIBLE FOR SUPPORTED HOUSING
- 7. Identify source of income
- 8. PHYSICIAN'S AUTHORIZATION FOR RESTORATIVE SERVICES (Must be filled out by a licensed MD only. A Nurse Practitioner is NOT acceptable)
- 9. The following information is optional, but helpful and can be submitted to the Adult SPOA Coordinator after the initial application is received:
 - a psycho-social assessment
 - a psychological evaluation
 - a current comprehensive treatment plan
 - recent medication notes
 - any other specialized tests/evaluations/consultations as deemed appropriate

10. Submit the application and supporting documentation via mail, fax or email (scan) to:

Adult SPOA Coordinator Ulster County Department of Mental Health 239 Golden Hill Lane Kingston, New York 12401 845-340-4110

Fax: 845-340-4094 mshl@co.ulster.ny.us

SPOA PROCESS AND ADMISSION REQUIREMENTS:

- 1. Once the application/referral packet is received, it will be presented to the SPOA Adult Residential Services Committee. The Committee is comprised of the various providers of residential services in Ulster County. The Committee determines whether the client/consumer meets the criteria and is deemed appropriate.
- 2. Prior to admission, a trial visit will be arranged for the client/consumer. In order for a trial visit to occur, the following must be in place:
 - FUNDING (SSI/SSD/DSS/MEDICAID, etc.)
 - OUTPATIENT MENTAL HEALTH TREATMENT SERVICES
- 3. Upon Admission to a residential service the following documentation is required:
 - MEDICAL/PHYSICAL EXAMINATION WITH RESULTS OF A PPD TEST (Done within the last 12 months).

Consumer Name:
LEVEL OF HOUSING Check appropriate box to where referral is to be made:
GATEWAY COMMUNITY INDUSTRIES (GCI) LEVEL I Community Residence Gateway Manor (New Paltz) 24 Hour Supervision
LEVEL II Supportive Apartments □ Scattered Site Supportive Apartments (Kingston) 1-3 Visits per Week □ The Newkirk Project (Dual Diagnosis) (MH/OPWDD) 21-24 Hour Supervision □ Ulster Gardens Apartments (Kingston) 1-3 Visits per Week
LEVEL III Supported Housing □Gateway Apartments (Kingston, Scattered) Regular Visits as Needed □Gateway Family Apartment (HUD Homeless only) Regular Visits as Needed □Ulster Gardens Apartments (Kingston) Regular Visits as Needed
REHABILITATION SUPPORT SERVICES, INC. (RSS) LEVEL I Community Residence Highridge Gardens (Poughkeepsie) 24 Hour Supervision
LEVEL II Supportive Apartments Supportive Apartments Supportive Apartments Supportive Apartments
LEVEL III Supported Housing □Ulster County Regular Visits as Needed
MENTAL HEALTH ASSOCIATION (MHA)

LEVEL II Supportive Apartments
□ Training Apartment Program (TAP) (Lake Katrine, NY) 24 Hour Supervision
□ Locust Street Certified Apartment Program (Kingston, NY) Supervised 8am- 10pm
□ Scattered Site 1-3 Visits per Week
LEVEL III Supported Housing
□ Kingston Area Units <i>Regular Visits as Needed</i>

PEOPLe, Inc

LEVEL III Supported Housing ☐ Ulster County Regular Visits as Needed

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C	er Name		

RESIDENTIAL SERVICES APPLICATION

Level of Care Being Requested:

				REFER	RAI	L SOURCE	DATA	
Date of Refer	ral:	Referre	ed By:			Title	•	
								T
Agency:								Extension:
Mailing Addr	000			City		E-mail add	State	Zip Code
Mannig Addi	ess:			City			State	Zip Code
				CO	NS	UMER DAT	A	
Name: Last		F	irst	Middle	Cı	irrent Addr	ess:	
Age: Date	of Birth		Current Cell: Home:	Telephone #:	City/State/Zip:			
County of Res	sidence:	:	I	ength of Residence:	Is	Consumer o	n:	
					Pr	obation: 🗆 Y	es \square No	Parole: □Yes □No
Gender: ☐Male ☐Female	□Siı	tal Statu ngle [idowed	ıs: □Marrie	d □Divorced	Name of P.O.:			
List last three	e previo	us addr	esses chr	onologically:				
2	1. 2.							
Number of Cl Ages:	nildren:			Religion (if o	decla	ared):		Veteran: □Yes □No □Unknown
Education (H	ighest c	omplete	d): D	 nd: □Yes □No Writ	to. [Employn	nent Status:
□GR □H		College		mary Language:	ie	les Lino		
☐Graduate		0011080						
Homeless:								
□Yes □No								
If yes, where is the consumer staying now:								
Wrap Plan?	Yes	□No	Ad	vanced Directives?	□Y€	es 🗆 No		

Consumer Name:						
		ASSISTED OUT	ГРАТІІ	ENT TREATMENT (AOT)		
Check any that apply: ☐AOT Enhanced ☐	AOT Petition	□High Risk				
CONSUM	IER DSM-5 DI	AGNOSIS (as star	ted on I	Psychiatric Evaluation)		ICD-10 Codes
1.					F	
2.					F	
3.					F	•
4.					F	
5.					F	
6.					F	
	DEVELO	OPMENTAL DIS	ABILIT	TIES DIAGNOSIS ONLY (OPV	VDD):	
Diagnosis:	☐ Intellectual I ☐ Fetal Alcohol	Developmental Dis		☐Autism ☐Cerebral Palsy yndrome	y Asperge	er Syndrome
Full Scale IQ:			Disabi	ility Manifested Prior to Age 18 \square No	?	
Does this consumer have	e OPWDD eligi	bility and /or WA	IVER s	tatus?		
		SERVICE P	PROVII	DER INFORMATION:		
Provider	Name			Agency	Phone #	
Primary Therapist:						
Prescribing Physician/Psychiatrist:						
Probation/Parole Department If applicable:						
Care Management:						
Current Treatment Program:						

(Consumer	Name:		
М	COUSTINE	INALLIE.		

FINANCIAL INFORMATION						
SSN:	Medicaid #: □ Active □ Not	Active	Medicare #:	Temporary	Assistance	e/Welfare Amount:
Employment Earnings (Monthly) Other Benefits or Inco	SSI: Yes No SSI Amount: \$	SSDI A	☐Yes ☐No Amount: \$ down: ☐Yes ☐No Insurance: (Health, Life,	Does Consumer Have Bank Account? Yes No		
	ome:			·		
Current Payee ☐ Yes ☐ No ☐ P ☐ Payee Recommende	ending d	Currei	nt Payee's Name:	Relationsh	ip:	Phone #:
Payee's Address:		Ci	ty:		State:	Zip:
	EAMILY AND	D SICNI	FICANT RELATIONSH	IID INEODM	ATION	
Next of Kin/Legal Gus	ardian/Significant Other	1		IIP INFORM	ATION	
Tient of Isin/Legal Gui	ar drain/Significant	- Huur				
Relationship:			Phone:			
Is family involved with	h consumer:	□No				
Describe quality of rel	ationships (include emo	tional an	nd health factors of family	when applic	able)	
	REASON	N FOR R	EFERRAL TO THIS LE	VEL OF CA	RE	
Briefly explain (excluding symptoms) why the consumer is in <u>need</u> of this level of care. Include how much supervision consumer needs:						
MEDICAL INFORMATION						
Physical Problems/Disabilities/Restriction: Yes No If yes, explain						
Allergies: Yes No If yes, list and/or explain						
Does Consumer Have a History of Seizure Disorder? □Yes □No If yes, explain						

Consumer Name:					
	A	LCOHOL AND SUBS	TANCE USE/ABUSE (Last Fiv	ve Years)	
Does Consumer Have If yes, list substance(s		Alcohol/Substance Abususe, treatment history.	se?	□No	
Substan	ce	Date of Last Use	Tre	eatment History	
	PRE	VIOUS PSYCHIATRI	C HOSPITALIZATIONS (Las	t Five Years)	
Hospita	al	Reason	n for Admission	Admit Date	Discharge Date
		N	MEDICATIONS		
Is the Consumer able	to self-admini	ister medications?	☐Yes ☐No		
		edication Non-complian		s, Explain:	
Does consumer riave	c mistory or ive	cureuron 1 ton compilar	ice. — Ies — Ito III	o, Expuiii.	
		F	RISK FACTORS		
Arson:	□Yes □N	Date or Age:	Explain:		
Suicide Attempts:	□Yes □N	O			
Suicide Gestures:	□Yes □N	Го			
Criminal Offenses:	□Yes □N	0			
Assaultive Behavior:	□Yes □N				
Drug/Alcohol Abuse:	□Yes □N	0			
Danger to Others:	□Yes □N	o			
Danger to Property:	\square Yes \square N	o			

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Consumer Na	mai		
Consumer iva	me.		

Physician

AUTHORIZATION FOR RESTORATIVE SERVICES OF COMMUNITY RESIDENCES

☐ Initial Authorization			
Semi-Annual Authorization			
☐ Annual Authorization			
CONSUMER'S NAME:			
CONSUMER'S MEDICAID NUMBER:			
ICD-10 DIAGNOSIS CODE:			
DATE LAST SEEN:			
I, the undersigned licensed physician	, based on my review of the a	ssessments made	available to me, have
determined that		_ would benefit t	for the provision of mental
(Consum	er's Name)		•
health restorative services defined pur	rsuant to Part 595 of the 14 N	YCRR.	
This determination is in effect for the	period	to	,
At which time there will be an evalua	(Start Date)		(End Date)
/			
Mo. Day Year	Name (Please Print)		License #
	Signature		
	enrolled in Managed Care (e.g enter Primary Care Physician a		•

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Managed Care Provider ID#

	SPOA RESIDENTIAL	CONSENT: PART 1
Consumer's	s Name:	DOB:
accordance v herein who h	with State and Federal Laws and Regulations. Information ave a demonstrable need for the information, provided that another person. A separate authorization is required to us	
		RMATION TO THE SPOA COMMITTEE eatment history; Mental Health Diagnosis; Psychiatric Evaluation; Exam and PPD.
Other:	Need for Information	
-	Need for Information:	
1. I	This information is being requested:	
	the information; or	for release to a person or entity with a demonstrable need for
э т	Other (please describe) RESIDENTIAL SPOA CO	MMITTEE change information about the SPOA consumer, with the agencies
	persons listed below, in order to link the consumer with	
	Being Disclosed From: (Name, Address of Organization	
Information	Being Disclosed To: (Note: All referrals, including the in-	formation indicated above, are forwarded to the SPOA Coordinator
	seminates them to any of the Residential Service Provide	
	ntal Health Association in Ulster County, Inc.	Chiz's Heart Street
	eway Community Industries, Inc.	 Rehabilitation Support Services, Inc.
	OPLe, Inc.	Access Supports for Living: Mobile Mental Health
	al Ulster Preservation Company	Ulster-Greene ARCOther
	alth Alliance of the Hudson Valley – Inpatient Unit	Other ne Person/Organization/Facility/Program(s) identified above. I
understa		10 1 01001 # Organization #1 dointy/1 10gram(by toohtmod above. 1
	Only the information described in this form may be used	
2.		deral privacy regulations (HIPAA) and the NYS Mental Hygiene
3.	Law and cannot legally be disclosed without my permissi	on. uired to comply with HIPAA, then it could be redisclosed and
0.	would no longer be protected by HIPAA. However, this in	nformation will still be protected under the NYS Mental Hygiene
	by the NYS law (Mental Hygiene Law §33.13).	sed by anyone who receives it unless the redisclosure is permitted
4.		any time. My revocation must be in writing on the form provided
	to me by (insert name of facility/program) UCDMH SPOA	<u>.</u>
	I am aware that my revocation will not be effective if the	persons I have authorized to use and/or disclose my protected
_	health information have already taken action because of	
5.	New York State Office of Mental Health, nor will it affect i	al to sign will not affect my abilities to obtain treatment from the
6.		th information to be used and/or disclosed (in accordance with the
		ns found under 45 CFR §164.524 and NYS Mental Hygiene Law
C	§33.16).	averation as act fauth in this designment
Consumer S	Signature: I certify that I authorize the use of my health inf	ormation as set forth in this document.
-	Signature of Consumer or Personal Representative	Date
	orginates of contention of Forestal Forescontains	240
-	Canalisman's Names (Drinted)	
	Consumer's Name (Printed)	
	Personal Representative's Name (Printed)	
	Description of Boroanal Representative's Authority to Act for the Consum	or (required if Personal Pensonantative signs Authorization)
	Description of Personal Representative's Authority to Act for the Consum	ei (required ii Fersorial Representative signs Autriorization)
		MATION: I hereby revoke my authorization to release/obtain
iniormation, I	ndicated in Part 1, to the person/organization/facility/progr	am listed DelOW.
	<u></u>	
0.		
Signature:		Date:

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SPOA RESIDENTIAL CONSENT: PART 2

AUTHORIZATION FOR THE EXCHANGE OF INFORMATION BETWEEN ULSTER COUNTY DEPARTMENT OF MENTAL HEALTH SPOA COMMITTEE AND OTHER SERVICE PROVIDERS

Name:	DOB:	
and Federal Laws and Regulations. Information may	er or his/her personal representative to use/disclose profoe released pursuant to this authorization to the parties is easonably be expected to be detrimental to the consume formation.	dentified herein who have a demonstrable need for
services. The information to be released/obtained inc	sion to release and obtain your confidential information bludes: the SPOA application, income verification, psycheting, discharge summary, physical/medical specialist ex	niatric evaluation /update, psychosocial assessment
I hereby authorize the Ulster County Department of SPOA Process:	of Mental Health SPOA Coordinator to exchange info	rmation with the following agencies as part of the
☐ Access: Supports for Living, Inc./Clinic	☐ HAHV/Broadway Campus	☐ Putnam Hospital
Treatment	☐ HAHV/Emergency Department	□ RCAL
\square Access: Supports for Living, Inc./Mobile Mental	☐ HAHV/Mary's Avenue Campus/Inpatient	☐ Rehabilitation Support Services, Inc.
Health Team	☐ HAHV/Partial Hospitalization-Adult/Adolescent	☐ Rockland's Children's Psychiatric Center
☐ ACT Team (MHA in Ulster County, Inc.)	☐ Hudson Valley Community Services	☐ Rockland's Psychiatric Center
☐ Always There Home Care	☐ Hudson Valley Mental Health, Inc.	RUPCO
☐ Bob Hasbrouck's	☐ The Institute for Family Health	☐ Spectrum Behavioral Health
☐ Bon Secours Hospital	☐ Mental Health Association- Ulster/Dutchess	☐ Step One
☐ The Bridge Back	☐ Mid-Hudson Regional Hospital of Westchester	□ UGARC
☐ Children's Home-Poughkeepsie/Kingston	□ New York Presbyterian	☐ Ulster County Department of Mental Health
☐ Chiz's Heart Street	□ New York State Department of Community	☐ Ulster County Jail
☐ CREATE/PROS	Corrections Services	☐ Willcare Home Health
☐ Department of Social Services-	☐ Parole (New York State)	
Ulster/Dutchess	☐ Parson's Child and Family Center	☐ Other
☐ Family Care/OMH	□ PEOPLe, Inc	
☐ Family of Woodstock, Inc.	☐ Phelps Hospital	☐ Emergency Contact
☐ Four Winds Hospital	☐ Pine Grove Center	
☐ Gateway Community Industries, Inc.	□ Prille Glove Ceriter	

☐ Probation (Ulster County)

SPOA RESIDENTIAL CONSENT: PART 2

I hereby permit the use or disclosure of the above information to the Person/Organization/Facility/Program(s) identified above. I understand that:

1. Only the information described in this form may be used and/or disclosed as a result of this authorization.

2. This information is confidential and is protected under Federal Privacy Regulations (HIPAA) and the NYS Mental Hygiene Law and cannot legally be disclosed without my permission.

3. If this information is disclosed to someone who is not required to comply with HIPAA, then it could be redisclosed and would no longer be protected by HIPAA. However, this information will still be protected under the NYS Mental Hygiene Law, which prohibits this information from being redisclosed by anyone who receives it unless the redisclosure is permitted by the NYS Law (Mental Hygiene Law §33.13).

4. I have the right to revoke (take back) this authorization at any time. My revocation must be in writing on the form provided to me by (insert name of facility/program) <u>UCDMH SPOA</u>.
I am aware that my revocation will not be effective if the persons I have authorized to use and/or disclose my protected health information have

already taken action because of my earlier authorization.

5. I do not have to sign this authorization and that my refusal to sign will not affect my abilities to obtain treatment from the New York State Office of Mental Health, nor will it affect my eligibility for benefits.

6. I have a right to inspect and copy my own protected health information to be used and/or disclosed (in accordance with the requirements of the Federal Privacy Protection Regulations found under 45 CFR §164.524 and NYS Mental Hygiene Law §33.16).

identified above as often as necessary to fulfill the purp	ose identified above. My authorization will expire:
$\hfill \square$ when I am no longer receiving SPOA residential services.	vices
□ other	<u> </u>
CONSUMER SIGNATURE: I certify that I authorize the	use of my health information as set forth in this document
Signature of Consumer or Personal Representative	Date:
Consumer's Name (Printed) :	Personal Representative's Name (Printed):
Description of Personal Representative's authority to ac	ct for the Consumer (required if Personal Representative signs authorization):
REVOCATION OF AUTHORIZATION TO RELEASE/C 2, to the person/ organization/facility/program listed below	DBTAIN INFORMATION: I hereby revoke my authorization to release/obtain information, indicated in Part ow:
SIGNATURE	DATE:

SPOA PACKET

PSYCKES Consent Form

This PSYCKES consent form allows your provider/referent to obtain Medicaid information through PSYCKES, an electronic database. This database contains all the different types of health services you have received through Medicaid. Once you consent, those providers/referents will have access to indicators which will enable them to help you in treatment planning and help coordinate all the different types of health services you have received through Medicaid. Your choice to consent or deny will not affect your ability to get medical care or health insurance coverage. Understand that your provider may be able to obtain your information even without your consent for certain limited purposes if specifically authorized by the state and federal laws and regulations.

Your Consent Choices. You can fill out this form now or in the future. You have two choices:				
I give consent for the SPOA participants to access all of my electronic health information through PSYCKES in connection with providing me any health care services. YOU ARE ABLE TO WITHDRAW THIS CONSENT AT ANY TIME DURING THE SPOA PROCESS. SEE ATTACHED WITHDRAWAL FORM.				
I deny consent for the SPOA participants to access my electronic health information through PSYCKES.				
The following are SPOA participants: Uls	ter County Department of I	Mental Health;		
Department of Social Services-Adult; Mental Health Association and ACT; Gateway Community Industries; Rockland Psychiatric Center (Pine Grove Center); Hudson Valley Health Alliance-Inpatient; Hudson Valley Health Alliance Partial Programs; Family of Woodstock; Willcare Home Care; UC Probation; PEOPLe, Inc.; Institute of Family Health; Rehabilitation Support Services, Inc.; Hudson Valley Mental Health				
Print Name of Patient:	Date of Birth of Patient:	Patient Medicaid ID #:		
Signature of Patient or Patient's Legal Representative:	Date:			
Print name of Legal Representative (if applicable):	Relationship of Legal Representative to Patient (if applicable):			
Print name of Witness:	Signature of Witness:			

Information About the PSYCKES Consent for Your Records

Details about patient information in PSYCKES and the consent process:

- How Your Information Will be Used. Your electronic health information will be used by only to:
 - Provide you with medical treatment and related services
 - Evaluate and improve the quality of medical care provided to all patients

Note: The choice you make in this Consent form does *not* allow health insurers to have access to your information for the purpose of deciding whether to give you health insurance or pay your bills. You can make that choice in a separate Consent Form that health insurers must use.

- 2. What Types of Information About You are Included? If you give consent, Ulster Co. SPOA Agencies may access all of your electronic health information available through PSYCKES. This includes information created before and after the date of this Consent Form. Your health records may include a history of illnesses or injuries you have had (like diabetes or a broken bone), test results (like X-rays or blood tests), and lists of medicines you have taken. This information may relate to sensitive health conditions, including but not limited to:
 - Mental health conditions
 - Alcohol or drug use problems
 - Birth control and abortion (family planning)
 - Genetic (inherited) diseases or test
 - HIV/AIDS
 - Sexually transmitted diseases
- 3. Where Health Information About You Comes From. Information about you in PSYCKES comes from the New York State Medicaid Program.
- 4. Who May Access Information about You, if you Give Consent. Only these people may access information about you; doctors and other health care providers who serve on the Ulster Co. SPOA Agency's medical staff who are involved in your medical care; health care providers who are covering or on call for the SPOA Agency's doctors; and staff members who carry out activities permitted by this Consent Form as described in paragraph one.
- 5. **Penalties for Improper Access to or Use of your Information.** There are penalties for inappropriate access to or use of your electronic health information. If at any time you suspect that someone who should not have seen or gotten access to information about you has done so, call the Ulster co LGC at 340-4110; or call the NYS Office of Mental Health Customer Relations at 800-597-8481.

- 6. **Re-disclosure of Information.** Any electronic health information about you may be re-disclosed by others only to the extent permitted by state and federal laws and regulations. This is also true for health information about you that exists in a paper form. Some state and federal laws provide special protections for some kinds of sensitive health inform, including HIV/AIDS and drug and alcohol treatment. Their special requirements must be followed whenever people receive these kinds of sensitive health information.
- 7. **EFFECTIVE PERIOD.** This consent Form will remain in effect until three (3) years after the last date you received any medical services, or until the day you withdraw your consent, whichever comes first.
- 8. Withdrawing Your Consent: You can withdraw your consent at any time by signing a Withdrawal of Consent Form and giving it to the Ulster Co. SPOA Coordinator at USDMH, 239 Golden Hill Lane, Kingston, NY 112401 or phone her at 845-349-4193. You can also change your consent choices by signing a new Consent Form at any time. You can get these forms form this provider or from the PSYCKES website at www.psyckes.com or by calling Ulster Co. Department of Mental Health at 340-4110. Note: Organizations that access your health information through SPOA Agencies that serve you while your consent is in effect may copy or include your information in their own medical records. Even if you later decide to withdraw you consent, they are not required to return it or remove it from their records.

Copy of Form: You are entitled to receive a copy of this Consent Form after you sign it.

PSYCKES Withdrawal of Consent Form

You previously signed a PSYCKES Consent form allowing your provider to obtain access to your Medicaid medical records electronically through PSYCKES and now want to withdraw that consent. This form may be filled out now or at a later date.

By withdrawing Consent, you understand that:

- 1. Health care providers and health insurers that you are enrolled with will no longer be able to access Medical Information about you through PSYCKES, except in an emergency or if another exception to the State and federal confidentiality laws and regulations applies. For example, if the Medicaid program has a quality concern about your healthcare, then under federal and state regulations your provider may be given access to your data to address the quality concern.
- 2. Your provider is not completely barred from accessing your medical information in any way. It may still be able to obtain necessary information directly from another provider for treatment purposes under state and federal laws and regulations.
- 3. The Withdrawal of Consent will not affect the exchange of your Medical Information made while your Consent was in effect.
- 4. No PSYCKES participating provider will deny you medical care and your insurance eligibility will not be affected based on your Withdrawal of Consent.
- 5. If you wish to reinstate Consent, you may do so by signing and completing a new PSYCKES Consent form and returning it to a participating provider.
- 6. Withdrawing your consent does not prevent your health care providers from submitting claims to your health insurer for reimbursement for services rendered to you.
- 7. You understand that you will get a copy of this form after you sign it.

Print Name of Patient:	Date of Birth of Patient:
Signature of Patient or Patient's Legal Representative:	Date:
Print name of Legal Representative (if applicable):	Relationship of Legal Representative to Patient (if applicable):
Signature of Witness:	Print name of Witness: